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#### DOCUMENT PROFILE and CONTROL.

**Purpose of the document:** is to set the options for providing both short and longer term support to staff who suffered Physical Violence or Non-Physical Abuse.

**Sponsor Department:** Health, Safety and Risk

Author/Reviewer: Local Security Management Specialist. To be reviewed by May 2018.

Document Status: Final

Amendment History			
Date	*Version	Author/Contributor	Amendment Details
17/06/15	2.1	IG Manager	Document Profile and Control update
02/06/15	1.3	LSMS	Further amendments required by SMT
20/04/15	1.2	Local Security Management Specialist	Minor amendments – including addition of Scope of Procedure and updated monitoring section, external organisational names, internal post names and contact telephone numbers
13/10/08	1.1	Snr. Health, Safety and Risk Adviser	Minor – updated 10.0 contact telephone numbers

\*Version Control Note: All documents in development are indicated by minor versions i.e. 0.1; 0.2 etc. The first version of a document to be approved for release is given major version 1.0. Upon review the first version of a revised document is given the designation 1.1, the second 1.2 etc. until the revised version is approved, whereupon it becomes version 2.0. The system continues in numerical order each time a document is reviewed and approved.

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The RIB	23/06/15	IG Manager	G&A
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Links to Related documents or references providing additional information			
Ref. No.	Title	Version	
HS/001	LAS Health and Safety Organisation Policy Statement	1.2	
HS/011	LAS Incident Reporting Procedure	4.1	
HS/012a	LAS Violence Avoidance and Reduction Procedure	3.4	
TP/077	LAS Security Management Policy	1.2	

Document Status: This is a controlled record as are the document(s) to which it relates. Whilst all or any part of it may be printed, the electronic version maintained in P&P-File remains the controlled master copy. Any printed copies are not controlled nor substantive.

## 1. Introduction

- 1.1 The consequences of violence at work can be wide ranging to the individual(s) affected some staff may recover from the incident quickly. For others it can result in either physical or mental injury, leading to complete loss of confidence. Staff frequently report that the mental scars of a violent incident, last much longer than the physical scars.
- 1.2 The immediate response from managers in dealing with violent incidents can be crucial for the member of staff concerned, and can have a significant impact, in aiding the recovery process. In supporting staff who have been abused it is important to recognise that everyone has different ways to responding to a violent incident, and therefore the response provided needs to reflect the staff members needs, not personal beliefs or experiences.
- 1.3 The London Ambulance Service (LAS) is committed to providing both short and longer term support to staff who suffered Physical Violence or Non-Physical Abuse, and the aim of this procedure is to set out the options for providing this support, and the responsibilities of LAS managers in this process.

# 2. Scope

2.1 This procedure defines the process to be followed following an incident of violence or extreme non-physical abuse. This procedure applies to all LAS frontline staff (which includes A&E, PTS and EOC staff).

### 3. Objectives

- 3.1 To outline the short, medium, and long term reactions to violence
- 3.2 To clarify the role of managers in providing support immediately following the incident in staff interactions with the police and the Crown Prosecution Service and in advising the Local Security Management Specialist (LSMS) of such incidents and any subsequent court cases.
- 3.3 To outline the role of the LSMS in providing assistance with police liaison
- 3.4 To learn from violent incidents
- 3.5 To outline the Support Services available to the victims of Physical Violence and Non-Physical Abuse
- 3.6 To describe the Criminal Justice System and what is required to achieve a Prosecution

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# 4. Responsibilities

## 4.1 Chief Executive

4.1.1 The Chief Executive takes overall responsibility for Risk Management in the LAS

# 4.2 **Director of Transformation, Strategy and Workforce**

- 4.2.1 Director of Transformation, Strategy and Workforce `has delegated responsibility for managing the strategic development and implementation of operational risk management (any element of risk relating to human resources: occupational health; health and safety; training; and personnel records management).
- 4.2.2 Director of Transformation and Strategy has overall responsibility for health and safety within the Trust, although individual executive directors are responsible for and manage the health and safety risks that fall within their particular field of activity.

# 4.3 **Director of Corporate Affairs**

4.3.1 The role of Security Management Director (SMD) has been delegated to the Director of Corporate Services, who is responsible for ensuring that adequate security management provision is made within the LAS, promoting security at board level and for monitoring and ensuring compliance with the requirements and directions issued by the Secretary of State, the Department of Health and NHS Protect, relating to security.

# 4.4 Senior Managers and Managers and Team Leaders

4.4.1 Senior Managers, Managers and Team Leaders should take the lead in providing both immediate and longer term support for staff who have suffered either Physical Violence, or Non-Physical Abuse. Staff should be encouraged to report all acts of violence to the police, and offered full support in this process. Senior Managers, Managers and Team Leaders have the following specific responsibilities in providing post incident support:

- To provide immediate and subsequent support to staff who have been abused in terms of personal welfare;
- To ensure that violent incidents have been reported and investigated in accordance with the Incident Reporting Procedure;
- To ensure all physical assaults where there is an intention to cause injury are reported to the police;
- To offer Occupational Health, Counselling, Welfare Services;
- To advise the LSMS of all acts of Physical Violence within 24 hours and seek any necessary guidance, advice or support;
- To accompany staff required to provide witness statements as part of the police investigation;
- To maintain communication with the victim to ensure that they are advised of any impending court cases and advise the LSMS of these;
- To accompany staff who have been the victims of assaults, and who are required to give evidence in court;
- To ensure that the LSMS is kept informed as to the progress of any prosecutions along with the outcome.
- 4.4.2 All senior managers (as defined by the Senior Managers' Conference list and likely to be a head of department or senior lead for an operational complex or number of stations) and managers are responsible for the management of risk locally and for day to day implementation of policies and strategy within their own area.

# 4.5 EOC Staff

- 4.5.1 In all cases of actual or threatened violence reported by Operational Staff EOC staff will ensure the following;
  - That an operational manager is dispatched to scene
  - That details of the assault are not discussed over the radio due to the sensitivity of the situation
  - Liaise with CSU and any manager at scene regarding any period of stand down and its continuation

## 4.6 Local Security Management Specialist

- 4.6.1 The role of the LSMS is to support staff who have been assaulted or threatened in terms of police liaison. Specific responsibilities of the role are:
  - To offer support to staff who have been assaulted or threatened during the course of their duties;
  - To report the number of Physical Assaults to NHS Protect;
  - To act as the contact point for NHS Protect;
  - To carry out a liaison role between the LAS and Police and Crown Prosecution Service;
  - To keep staff who require support updated with the police and CPS investigation;
  - To advise and support managers and staff with their requirement to attend court, and to notify the Press Office as appropriate;
  - To support, advise and represent staff when the decision is taken not to prosecute;
  - To provide guidance to staff on making applications for compensation, from the Criminal Injuries Compensation Scheme;
  - To assist staff in obtaining compensation payments that are due.

### 5. Definitions

#### 5.1 <u>Health and Safety Executive (HSE) definition:</u> Work-related violence:

'Any incident in which a person is abused, threatened or assaulted in circumstances relating to their work. This can include verbal abuse or threats as well as physical attacks.'

5.2 <u>NHS Protect definition:</u>

The NHS definitions of physical and non-physical assault are used for incident reporting purposes, below:

- Physical assault 'the intentional application of force to the person of another, without lawful justification, resulting in physical injury or personal discomfort.'
- *Non-physical assault* "the use of inappropriate words or behaviour causing distress and/or constituting harassment."

# 6. Post Violence Support

#### 6.1 The Initial Response:

The initial response and support following an incident can be vital in aiding the recovery of the victim. It is important that a discussion with the member of staff is carried out as soon as possible after the incident. A letter offering support is **not** appropriate. The following issues should be considered as part of the discussion:

- The immediate welfare of the member of staff, in respect of any physical injury that has been inflicted;
- The needs of the victim transport home, telephone calls etc.;
- Reporting the incident to the police (refer to whether the member of staff wishes the police to pursue a prosecution of the perpetrator of the violence);
- To offer to stand the member of staff down from their duties;
- To provide information about support that is available including Counselling, Occupational Health Services, Assistance with Police Liaison and Legal Advice.
- 6.2 There will be a need to complete an Incident Report Form, LA277 (refer to the H&S 011- Incident Reporting Procedure), and the victim should record as much detail of the incident as possible whilst the circumstances are still fresh in their memories. This is particularly important when criminal or civil proceedings are intended. Any evidence of the assault should also be preserved, and photographs of injuries should be taken at the earliest opportunity.
- 6.3 An important part of the post incident support is to allow the victim to discuss the incident freely, without the fear of blame or judgement. As stated previously no two people will respond in the same way, and so if the victim does not wish to speak about the incident immediately they

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should not be pressurised in to doing so. They may still wish to discuss the incident later. The victim needs to be reassured that any of the feelings discussed in Section 7.2 are normal.

### 6.4 <u>Subsequent / on-going Response</u>:

Victims of violence often complain that although they may be treated well immediately after the incident, there is no further support offered. It is therefore important that a follow up discussion takes place once he or she has had an opportunity to reflect on what has happened.

### 6.5 <u>Summary of Management Response</u>:

- To ensure that violent incidents have been reported and investigated in accordance with the Incident Reporting Procedure;
- To ensure all physical assaults where there is an intention to cause injury are reported to the police;
- To offer Occupational Health, Counselling, Welfare Services initially: advise the victim of the informal support offered by LINC (Listening, Informal, Non-judgemental, Confidential) and follow up with further checks once the victim has had time to reflect on their experience. (Please refer to section 12, page 14 for contact details of the above services);
- To advise the LSMS of all acts of Physical Violence within 24 hours and seek any necessary guidance, advice or support;
- To accompany staff required to provide witness statements as part of the police investigation;
- To accompany staff who have been the victims of assaults, and who are required to give evidence in court;
- To ensure that the LSMS is kept informed as to the progress of any prosecutions along with the outcome.

# 7. Typical Responses to an Incident

7.1 The response to a violent incident will depend very much on the way in which an individual deals with traumatic situations generally. The level of aggression, the circumstances leading up to it, and the nature of the assault, or threat will also have a bearing. Typical reactions will include Shock, Disbelief, Fear, Anger, and Embarrassment.

- 7.2 The medium term response to an incident will be formed when a member of staff has had a chance to consider what has happened. At this stage victims may start to question what has occurred, and consider ways that the incident could have been avoided. This may lead on to guilt or embarrassment that they were unable to avoid the incident, leading on to loss of sleep due to flash backs. Victims may also go in to denial of the effects of the incident and be eager to return to work, believing that others may not understand how they are feeling. Anger towards other people who were involved in the incident, and the management response to the incident is also typical. Victims may feel a lack of confidence in dealing with a similar situation if it arises. Management support at this stage is important to assist with putting the incident in to perspective, and to aid a full recovery.
- 7.3 Responses that last more than a couple of weeks indicate the victim is having difficulty in recovering from the incident, and would benefit from discussing the incident with a LAS Counsellor or the Occupational Health Department (if he or she has not done so already).
- 7.4 At this stage changes in behaviour may be noticed, including irritable and aggressive behaviour, depression, exhaustion, excessive drinking, smoking or eating.

# 8. The Police and Crown Prosecution Service

- 8.1 All incidents of alleged physical assault towards NHS staff should be reported to the police, either by the person assaulted, their manager or a relevant colleague. The only exception is when there is clear clinical evidence that the assault was not intentional, and that the patient did not know what they were doing. The LSMS can be contacted for on-going support, guidance and advice (please refer for section 4.6.1 on page 7).
- 8.2 A Joint Working Agreement between the Association of Chief Police Officers, the Crown Prosecution Service (CPS) and NHS Protect recognises that there is a strong public interest in prosecuting those who assault NHS staff or commit offences that disrupt the provision of NHS services to the public. It puts in place a broad framework to assist local units of the three national organisations in setting up closer working arrangements to reduce the problem of violence and anti-social behaviour affecting the NHS. It states that all parties will encourage individual police services, CPS areas and NHS bodies to seek the strongest possible action in appropriate cases.

For further information the full document is available to download at: <u>http://www.nhsbsa.nhs.uk/Documents/SecurityManagement/Joint\_Working\_Agreement\_between\_ACPO\_CPS\_NHS\_Protect.pdf</u>

- 8.3 Most victims want to see the perpetrators of violence dealt with appropriately, and it is important that managers assist in this process. However there may be a number of reasons why the police may decide not to charge the aggressor, or why the Crown Prosecution Service (CPS) take the decision not to prosecute. This will be discussed later in the procedure.
- 8.4 The police need the full support of the victim to pursue a prosecution. The victim needs to make it clear to the police that they want the assailant prosecuted as the police will not always charge somebody with an offence, without the knowledge that the victim supports the prosecution. To assist the police it is important that details of any witnesses are recorded. Although a victim will be asked to provide a witness statement as part of the police investigation, it is also beneficial if the staff member makes a written account of their recollections of the incident before memories fade.
- 8.5 In a case where the victim has been physically assaulted the medical records of any examination or treatment provided are likely to be used as evidence. Support should be provided to staff that are required to provide statements to the police, in order to assist on points of procedure, and to help ensure that the statement represents the events that occurred.
- 8.6 When the police have gathered enough evidence, the suspect may be arrested (if this didn't happen immediately following the incident) and interviewed. Dependent on the standard of evidence, and other factors including, whether the aggressor was undergoing any medical treatment at the time of the incident that may have contributed to their behaviour, the suspect will be charged with the offence.
- 8.7 Once the aggressor has been charged the Police will prepare a prosecution file for consideration by the CPS. The CPS will take the decision on whether the case should go to court, based on two tests:
  - Is there sufficient evidence to achieve a realistic prospect of a conviction;
  - Is the prosecution in the public interest.
- 8.8 The CPS lawyers who take the decision on prosecutions are governed by the Code for Crown Prosecutors. All cases have to be reviewed to make sure that they pass the two tests set out above. Criminal/Assault cases

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have to be proved beyond reasonable doubt, so there has to be clear and reliable proof that the offence was committed, and that there is a reasonable chance of conviction. In assault cases, the CPS lawyers will be looking for proof that the aggressor either meant to cause harm, or knew that his/her behaviour created a risk of harming someone, but still carried on.

- 8.9 If the first test is passed the CPS will then consider whether the prosecution is in the public interest. The Code for Crown Prosecutors sets out factors in favour of a prosecution. It states that a prosecution is likely to be needed if the offence was committed against a person serving the public. This includes assaults against LAS staff.
- 8.10 The Code also states the reasons when a prosecution would not be in the public interest, for example when the aggressor is suffering from a medical condition, that may have affected his or her behaviour, or when he or she is unable to understand the charge.
- 8.11 The CPS is fully committed to taking all practicable steps to help victims through the experience of becoming involved in the criminal justice system. The Victims Charter sets out the service that victims can expect from the CPS. The Charter also tells victims how to proceed if they are not satisfied with any aspect of the way in which the CPS or any other agencies involved with the case have proceeded.
- 8.12 The Criminal Injuries Compensation Authority (CICA) compensates the victims of crime under certain circumstances. Guidance on the CICA can be obtained from the LSMS, or Union Representative.

# 9. The NHS Protect

- 9.1 NHS Protect launched in 2003 as the NHS Counter Fraud and Security Management Service (CFSMS) with a remit of leading on policy relating to security management including violence towards NHS staff. The LAS forwards all reports of physical assault incidents to NHS Protect in order for them to monitor national reporting trends.
- 9.2 The Legal Protection Unit is part of NHS Protect and is headed by a Barrister. The focus of the LPU is to work with health bodies, the police and the Crown Prosecution Service to increase the prosecution rate of individuals who assault NHS staff.

- 9.3 The LPU will consider any matter referred for re-submission to the police/CPS, or for private prosecution by the LPU where the police or the police have decided not to pursue the matter.
- 9.4 In addition to criminal prosecution, the LPU will also consider whether the civil court process may provide additional or alternative avenues for dealing with the assailant.
- 9.5 All referrals to NHS Protect's LPU must be made via the LSMS.

# 10. The Court Case

- 10.1 Once the decision has been taken to prosecute, and dependent upon a variety of circumstances the case will be held in either a Crown or Magistrates Court.
- 10.2 If the defendant pleads guilty there will be no need for the victim to attend court. A summary of the case will be presented, and the defendant's criminal history will be disclosed. Sentence will be passed, and the police will inform the victim of the outcome.
- 10.3 If the defendant pleads not-guilty, then a trial will take place, and the witnesses, including the victim will be required to give evidence. The proceedings at both the Magistrates and Crown Courts are very similar, except that at the Crown Court a jury will decide whether the defendant is guilty, whereas in a magistrates' court the magistrates will decide.

## 11. Witness Support

11.1 Attending court as a witness can be an intimidating experience. It is important that a manager attends court to support a member of staff who is required to give evidence. Further information about the court case is included in Appendix A.

## 12. Contact Telephone Numbers

- LAS Counseling Services 07769741294
- LAS Local Security Management Specialist (LSMS) 0207 783 2565
- LAS Employee Assistance Scheme 0800 282193
- LINC 0207 9227539 (Available 24hrs) (Listening, Informal, Non-judgemental, Confidential)

	IMPLE	EMENTATION I	PLAN	
Intended Audience	For all LAS s	staff		
Dissemination	Available to all staff on the Pulse			
Communications	Revised Procedure to be announced in the RIB and a link provided to the document			
Training	Managing Safety & Risk training for managers			
Monitoring:				
Aspect to be monitored	Frequency of monitoring AND Tool used	Individual/ team responsible for carrying out monitoring AND Committee/ group where results are reported	Committee/ group responsible for monitoring outcomes/ recommendations	How learning will take place
Duties: How staff are supported following an incident of violence including; - Referrals to	Quarterly review of LA277 incident reports to identify	Health, Safety and Risk Team report to the Area Quality / Area Health	Senior Management Team (SMT)	Learning disseminated via Corporate Health and Safety Group

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welfare services.	trends and	and Safety	
- Incidents	reporting of	Committees	
reported to the	the numbers		
Police.	of incidents		
- Prosecutions	of abuse and		
taken forward.	subsequent		
- Letters of	crime		
complaint from	reference		
LSMS to Police for	numbers		
cautions/no further	being taken		
action.	forward to		
- Outcome of court	prosecution		
cases.	and resulting		
(sections 4.5 and 6.5)	outcomes		

# Appendix 1

## **Attending Court**

### PRIOR TO THE COURT HEARING

If you are the victim of a crime, and the Defendant pleads not guilty to the charge you will be required to give evidence at the Trial. Coming face to face with the defendant, can be intimidating, and the courtroom may be bewildering if you have not visited a court previously. The Witness Support Service has been established to make the process less of an ordeal. Trained volunteers will provide information about the procedure of the court, offer support, and accompany witnesses in the courtroom. Visits to the court can be arranged prior to the date of the Trial.

The Witness Support Service usually contacts the witness prior to the Trial, alternatively contact can be arranged through the Violence Prevention and Security Manager

## YOUR STATEMENT

Before coming to trial, you were asked to make a statement. When you arrive at the court, you will be given a copy of your statement. This will allow you to refresh your memory before giving evidence.

### PROSECUTION WITNESSES

If you are the 'victim', you will be the first person to give evidence in court. Other prosecution witnesses will then follow. Once you are in the witness box in the courtroom you will take an oath to make an affirmation to tell the truth. Witnesses are occasionally required to give their address in open court. If you have concerns about giving your address you should ask the Witness Support Coordinator if your address can be written down, rather than being said out loud.

The Crown Prosecutor will then ask you questions. This is to establish the facts as you know them. Once they have finished you will be cross-examined by the defence solicitor. They will ask you about points in your evidence that they do not agree with. Once they have finished, the Crown Prosecutor may ask you a few more questions to clarify any points that the defence solicitor brought out. Then the Magistrate/Judge or the court clerk may ask you questions to clarify points for themselves. This is known as re-examination.

### **ANSWERING QUESTIONS**

If you do not know the answer to the question say "I don't know" or "I don't remember". There is nothing wrong in not knowing things or in not remembering

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them. The crime may have happened many months ago, and most people have difficulty remembering details with the passing of time. It is **not** your job to prove anything. You are simply in the witness box to answer questions to the best of your ability.

## ROLE OF THE PROSECUTOR AND DEFENCE SOLICITOR ("COUNSEL")

The Crown Prosecutor and the defense counsel are not impartial. The prosecution counsel is there to try to show that the defendant committed the crime. The defence counsel is aiming to bring out evidence that there is a reasonable doubt that their client committed the crime. This is what is called the "adversarial" system. The Magistrates/Jury decide between the two versions.

# DON'T ANSWER A QUESTION YOU DON'T UNDERSTAND

Defence and prosecution counsels do not always ask questions as clearly as they should. Never answer a question that you don't understand, ask them to repeat it until you are clear what s/he is asking.

Here are some examples of counsel not asking clear questions:

- Asking two or more questions at once, for example: "Did you do X and also Y and Z?"
- Making a statement, which may or may not also be a question. This may leave you unsure whether they want an answer from you or not. "I put it to you that you not only did X, you did Z and Y as well"
- Using long words that you do not understand. They are at fault, not you. Everyone can use simple language.
- Using long complicated sentences.

In all these, and other examples, ask the counsel to explain or simplify what they are saying if you do not understand them.

### Ask for Things

The court recognises that witnesses should be as comfortable as possible when giving evidence. Ask to sit down if you feel you need to. Ask for a glass of water if you need one. If you need to go to the toilet, ask. If you are feeling distressed and you feel a break would help, ask for one.

### When you have Finished

Normally, when you have finished answering questions the Magistrates/Judge will tell you that you can go. This means that you can go home if you wish. The Crown prosecutor clerk will give you an expense claim from. Occasionally the Magistrates/Judge will ask that you wait in the building in case you need to be recalled. Also, but rarely, it is possible to be re-called the next day.

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